

**Response to Missing  
Requirements Under  
35 USC § 371**

Attorney Docket No.: SHIGA7.032APC  
 First Named Inventor: Takuma Hojo  
 Int'l Application No.: PCT/JP2004/005804  
 US Application No.: 10/554,380  
 Entered National Phase: October 26, 2005  
 Title: POSITIVE PHOTORESIST COMPOSITION AND  
 METHOD FOR FORMING RESIST PATTERN

**Direct all correspondence to Customer No.: 20995**

Date: September 26, 2006

Page 1 of 1

**Mail Stop PCT**  
 United States Patent and Trademark Office  
 PO Box 1450  
 Alexandria, VA 22313-1450

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

September 26, 2006

(Date)

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- (X) Copy of the Notification of Missing Requirements under 35 USC 371 dated August 29, 2006.
- (X) An Oath or Declaration and Power of Attorney signed by the inventors (35 USC 371(c)(4)) with copy of Assignment in 5 pages.
- (X) Return prepaid postcard.

**FILING FEES NOT YET PAID:**

FEE CALCULATION				
FEES TYPE		LARGE FEE	CALCULATION	TOTAL
Late Oath/Decl.	37 CFR § 1.492(h)	1617 (\$130)		\$130
			<b>TOTAL FEE DUE</b>	<b>\$130</b>

- (X) A check in the amount of **\$130** is enclosed to cover the above fees.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

10/04/2006 LLANDGRA 00000028 10554380

01 FC:1617

130.00 0P

  
 Neil S. Bartfeld, Ph.D.  
 Registration No. 39,901  
 Agent of Record  
 Customer No. 20,995  
 (619) 235-8550

2964030\cey  
 092606

SHIGA7.032APC<sup>MK</sup>

DEA/INSB



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/554,380	Takuma Hojo	SHIGA7.032APC
INTERNATIONAL APPLICATION NO.		
PCT/JP04/05804		
I.A. FILING DATE		PRIORITY DATE
04/22/2004		04/30/2003
CONFIRMATION NO. 7236		
371 FORMALITIES LETTER		
 *OC000000020217416*		

Date Mailed: 08/29/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/26/2005
- English Translation of the IA filed on 10/26/2005
- Copy of the International Search Report filed on 10/26/2005
- Copy of IPE Report filed on 10/26/2005
- Preliminary Amendments filed on 10/26/2005
- Information Disclosure Statements filed on 10/26/2005
- U.S. Basic National Fees filed on 10/26/2005
- Priority Documents filed on 10/26/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity.

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

---

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

---

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/554,380	PCT/JP04/05804	SHIGA7.032APC

FORM PCT/DO/EO/905 (371 Formalities Notice)